

**A CERTIFIED TRUE COPY**

ATTEST

**By Dana Stewart on Oct 29, 2009**

FOR THE UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

**Oct 13, 2009**

**FILED  
CLERK'S OFFICE**

**UNITED STATES JUDICIAL PANEL  
on  
MULTIDISTRICT LITIGATION**

**IN RE: STANFORD ENTITIES  
SECURITIES LITIGATION**

MDL No. 2099

(SEE ATTACHED SCHEDULE)

**CONDITIONAL TRANSFER ORDER (CTO-1)**

On October 6, 2009, the Panel transferred four civil actions to the United States District Court for the Northern District of Texas for coordinated or consolidated pretrial proceedings pursuant to 28 U.S.C. § 1407. *See* \_\_\_F.Supp.2d\_\_\_ (J.P.M.L. 2009). With the consent of that court, all such actions have been assigned to the Honorable David C. Godbey.

It appears that the actions on this conditional transfer order involve questions of fact that are common to the actions previously transferred to the Northern District of Texas and assigned to Judge Godbey.

Pursuant to Rule 7.4 of the Rules of Procedure of the Judicial Panel on Multidistrict Litigation, 199 F.R.D. 425, 435-36 (2001), these actions are transferred under 28 U.S.C. § 1407 to the Northern District of Texas for the reasons stated in the order of October 6, 2009, and, with the consent of that court, assigned to the Honorable David C. Godbey.

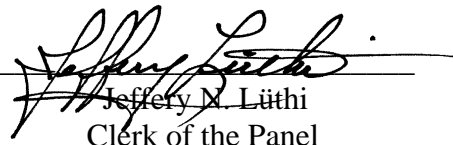
This order does not become effective until it is filed in the Office of the Clerk of the United States District Court for the Northern District of Texas. The transmittal of this order to said Clerk shall be stayed 15 days from the entry thereof. If any party files a notice of opposition with the Clerk of the Panel within this 15-day period, the stay will be continued until further order of the Panel.

FOR THE PANEL:

Inasmuch as no objection is  
pending at this time, the  
stay is lifted.

**Oct 29, 2009**

CLERK'S OFFICE  
UNITED STATES  
JUDICIAL PANEL ON  
MULTIDISTRICT LITIGATION

  
Jeffery M. Lüthi  
Clerk of the Panel

**IN RE: STANFORD ENTITIES  
SECURITIES LITIGATION**

MDL No. 2099

**SCHEDULE CTO-1 - TAG-ALONG ACTIONS**

**DIST. DIV. C.A. #                      CASE CAPTION**

**FLORIDA SOUTHERN**

~~FLS 9 09-81236~~ — ~~Lynne Turk, etc. v. Pershing, LLC~~ Opposed 10/27/09

**LOUISIANA MIDDLE**

LAM 3 09-675                      Michael Duane Carter, et al. v. Hank Mills, et al.

~~LAM 3 09-676~~ — ~~James Roland, et al. v. Jason Green, et al.~~ Opposed 10/28/09

~~LAM 3 09-678~~ — ~~Leah Farr, et al. v. Jason Green, et al.~~ Opposed 10/28/09

~~LAM 3 09-734~~ — ~~Numa L. Marquette, Jr., et al. v. Pershing, LLC, et al.~~ Opposed 10/28/09

UNITED STATES JUDICIAL PANEL

on

MULTIDISTRICT LITIGATION

**CHAIRMAN:**  
John G. Heyburn II  
United States District Court  
Western District of Kentucky

**MEMBERS:**  
Robert L. Miller, Jr.  
United States District Court  
Northern District of Indiana

Kathryn H. Vratil  
United States District Court  
District of Kansas

David R. Hansen  
United States Court of Appeals  
Eighth Circuit

W. Royal Furgeson, Jr.  
United States District Court  
Northern District of Texas

Frank C. Damrell, Jr.  
United States District Court  
Eastern District of California

David G. Trager  
United States District Court  
Eastern District of New York

**DIRECT REPLY TO:**

Jeffery N. Lüthi  
Clerk of the Panel  
One Columbus Circle, NE  
Thurgood Marshall Federal  
Judiciary Building  
Room G-255, North Lobby  
Washington, D.C. 20002

Telephone: [202] 502-2800  
Fax: [202] 502-2888  
<http://www.jpml.uscourts.gov>

October 29, 2009

Karen S. Mitchell, Clerk  
1452 Earle Cabell Federal Building & U.S. Courthouse  
1100 Commerce Street  
Dallas, TX 75242-1310

Re: MDL No. 2099 -- IN RE: Stanford Entities Securities Litigation

(See Attached CTO-1)

Dear Ms. Mitchell:

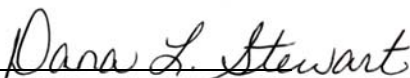
Attached as a PDF document is a certified copy of a conditional transfer order filed by the Panel in the above-captioned matter on October 13, 2009. As stipulated in Rule 7.4(a) of the Rules of Procedure of the Judicial Panel on Multidistrict Litigation, 199 F.R.D. 425, 435-36 (2001), transmittal of the order has been stayed 15 days to give any party an opportunity to oppose the transfer. The 15-day period has now elapsed, no opposition was received, and the order is directed to you for filing.

The Panel's governing statute, 28 U.S.C. § 1407, requires that the transferee clerk "...transmit a certified copy of the Panel's order to transfer to the clerk of the district court from which the action is being transferred."

A list of involved counsel is attached.

Very truly,

Jeffery N. Lüthi  
Clerk of the Panel

By   
Dana L. Stewart  
Deputy Clerk

Attachments (Conditional Transfer Order is a Separate Document)

cc: Transferee Judge: Judge David C. Godbey

**IN RE: STANFORD ENTITIES  
SECURITIES LITIGATION**

MDL No. 2099

**INVOLVED COUNSEL LIST**

Laura Pendergest-Holt  
c/o Stanford Group Company  
5050 Westheimer Road  
Houston, TX 77056

Jay Comeaux  
c/o Stanford Group Company  
5050 Westheimer Road  
Houston, TX 77056

Joseph H. Aughtman  
BEASLEY ALLEN CROW METHVIN  
PORTIS & MILES PC  
P.O. Box 4160  
Montgomery, AL 36103-4160

Commonwealth of Antigua  
Attorney General & Minister of Legal Affairs  
Office of the Attorney General & Ministry of Legal  
Government Complex - Queens Elizabeth Hwy  
St. John's, Antigua

James W. Beasley, Jr.  
BEASLEY HAUSER KRAMER LEONARD  
& GALARDI PA  
505 South Flager Drive  
Suite 1500  
West Palm Beach, FL 33401

J. Gordon Cooney, Jr.  
MORGAN LEWIS & BOCKIUS LLP  
1701 Market Street  
Philadelphia, PA 19103-2921

Gregory A. Blue  
MORGENSTERN & BLUE LLC  
885 Third Avenue  
New York, NY 10022

Samuel Cooper  
BAKER BOTTS LLP  
910 Louisiana Street  
Houston, TX 77002

Bowen, Michlette & Britt  
c/o CT Corporation System  
350 North St. Paul Street  
Dallas, TX 75201

Michael E. Criden  
CRIDEN & LOVE PA  
7301 S.W. 57th Court  
Suite 515  
South Miami, FL 33134

Alan K. Breaud  
BREAUD & MEYERS  
P.O. Box 3448  
Lafayette, LA 70502-3448

Steven E. Fineman  
LIEFF CABRASER HEIMANN  
& BERNSTEIN LLP  
250 Hudson Street  
8th Floor  
New York, NY 10013-1413

Douglas J. Buncher  
NELIGAN FOLEY LLP  
Republic Center  
325 North St. Paul  
Suite 3600  
Dallas, TX 75201

David Finn  
MILNER & FINN  
2828 North Harwood Street  
Suite 1950, LB 9  
Dallas, TX 75201

**MDL No. 2099 - Involved Counsel List (CTO-1) (Continued)**

George M. Fleming  
FLEMING & ASSOCIATES LLP  
1330 Post Oak Boulevard  
Suite 3030  
Houston, TX 77056

Bradley W. Foster  
ANDREWS KURTH LLP  
1717 Main Street  
Suite 3700  
Dallas, TX 75201

Ron Franklin  
FRANKLIN CARDWELL & JONES  
1700 Pacific Avenue  
Suite 1610  
Dallas, TX 75201-4604

George C. Freeman, III  
BARRASSO USDIN KUPPERMAN  
FREEMAN & SARVER LLC  
909 Poydras Street  
24th Floor  
New Orleans, LA 70112

John Keith Friley  
13348 Coursey Blvd.  
Suite B  
Baton Rouge, LA 70816

Charles Malcolm Gordon  
PREIS GORDON  
P.O. Box 2786  
450 Laurel Street  
Baton Rouge, LA 70821-2786

Jason Green  
c/o Stanford Group Company  
5050 Westheimer Road  
Houston, TX 77056

Roger B. Greenberg  
SCHWARTZ JUNELL GREENBERG  
& OATHOUT LLP  
Two Houston Center  
909 Fannin, Suite 2700  
Houston, TX 77010

Charles Leland Hamel  
HAMEL BOWERS & CLARK LLP  
5300 Memorial Drive  
Suite 900  
Houston, TX 77007-8201

Lexi Joy Hazam  
LIEFF CABRASER HEIMANN  
& BERNSTEIN LLP  
275 Battery Street  
30th Floor  
Embarcadero Center West  
San Francisco, CA 94111-3339

Peter W. Homer  
HOMER & BONNER PA  
1200 Four Seasons Tower  
1441 Brickwell Avenue, Suite 1200  
Miami, FL 33131

Frank J. Johnson  
JOHNSON BOTTINI LLP  
655 West Broadway  
Suite 1400  
San Diego, CA 92101

Robert E. Kerrigan, Jr.  
DEUTSCH KERRIGAN & STILES LLP  
755 Magazine Street  
New Orleans, LA 70130-3629

John T. Kirtley, III  
FERRER POIROT & WANSBROUGH  
2603 Oak Lawn Avenue, Suite 300  
Dallas, TX 75219

**MDL No. 2099 - Involved Counsel List (CTO-1) (Continued)**

David N. Kitner  
STRASBURGER & PRICE LLP  
901 Main Street  
Suite 4400  
P.O. Box 50100  
Dallas, TX 75250

Kathy M. Klock  
FOWLER WHITE BURNETT PA  
901 Phillips Point West  
777 S. Flagler Drive  
West Palm Beach, FL 33401

Lewis T. LeClair  
MCKOOL & SMITH PC  
300 Crescent Court  
Suite 1500  
Dallas, TX 75201

Stephen F. Malouf  
LAW OFFICES OF STEPHEN F MALOUF PC  
3811 Turtle Creek Blvd.  
Suite 1600  
Dallas, TX 75219

Charles S. McCowan, Jr.  
KEAN MILLER HAWTHORNE ET AL  
One American Place  
22nd Floor  
P.O. Box 3513  
Baton Rouge, LA 70821-3513

Mike O'Brien  
MIKE O'BRIEN PC  
14355 Highway 105  
Washington, TX 77880

Patrick W. Pendley  
PENDLEY BAUDIN & COFFIN LLP  
P.O. Box 71  
24110 Eden Street  
Plaquemine, LA 70765

Jonathan D. Polkes  
WEIL GOTSHAL & MANGES LLP  
767 Fifth Avenue  
New York, NY 10153

Borden M. Ray, Jr.  
RAY OLIVER & WARD LLC  
2020 University Blvd.  
Tuscaloosa, AL 35401-1522

Marshall M. Redmon  
PHELPS DUNBAR LLP  
445 N. Boulevard  
Suite 701  
P.O. Box 4412  
Baton Rouge, LA 70821-4412

Darren J. Robbins  
COUGHLIN STOIA GELLER RUDMAN  
& ROBBINS LLP  
655 West Broadway  
Suite 1900  
San Diego, CA 92101

Kevin M. Sadler  
BAKER BOTTS LLP  
1500 San Jacinto Center  
98 San Jacinto Blvd.  
Austin, TX 78701-4078

Donna Garbarino Schwab  
2446 June Street  
Baton Rouge, LA 70808

Edward C. Snyder  
CASTILLO SNYDER PC  
300 Convent Street  
Suite 1020  
San Antonio, TX 78205

Richard A. Speirs  
ZWERLING SCHACHTER & ZWERLING LLP  
41 Madison Avenue  
New York, NY 10010

**MDL No. 2099 - Involved Counsel List (CTO-1) (Continued)**

R. Allen Stanford  
c/o Stanford Group Co.  
5050 Westheimer Road  
Houston, TX 77056

Stanford Capital  
Attn: Legal Counsel  
5050 Westheimer Road  
Houston, TX 77056

Stanford Financial Group  
Attn: Legal Counsel  
701 Brazos Street  
Suite 1050  
Austin, TX 78701

Stanford Group Co.  
Attn: Legal Counsel  
5050 Westheimer Road  
Houston, TX 77056

Stanford Group Venezuela  
Attn: Legal Counsel  
Avenida Tamanaco  
Centro Empresarial el Rosal, Piso3  
Caracas, Venezuela

Stanford Holdings, Inc.  
Attn: Legal Counsel  
5050 Westheimer Road  
Houston, TX 77056

Stanford Int'l Bank  
Attn: Legal Counsel  
No. 11 Pavilion Drive  
P.O. Box 3300  
St. John's Antigua, West Indies

Stanford Venture Capital  
Attn: Legal Counsel  
5050 Westheimer Road  
Houston, TX 77056

James R. Swanson  
FISHMAN HAYGOOD PHELPS  
WALMSLEY ET AL  
201 St. Charles Avenue  
46th Floor  
New Orleans, LA 70170

Edward F. Valdespino  
STRASBURGER & PRICE LLP  
300 Convent Street  
Suite 900  
San Antonio, TX 78205

Jamie R. Welton  
LACKEY HERSHMAN LLP  
3102 Oak Lawn Avenue  
Suite 777  
Dallas, TX 75219-4241

Willis Group Holding Ltd.  
c/o Appelby  
Canon's Court  
22 Victoria Street  
Hamilton Bermuda

Willis of Colorado, Inc.  
c/o CT Corporation System  
350 North St. Paul Street  
Dallas, TX 75201

Robert S. Winter  
10015 Olympia  
Houston, TX 77042

Jeffrey C. Zwerling  
ZWERLING SCHACHTER & ZWERLING LLP  
41 Madison Avenue  
32nd Floor  
New York, NY 10010